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**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 41856/JEC/X2/134052  
 Inventor(s) : Karl Thiele  
 Title : DATA MANAGEMENT FRAMEWORK FOR POLICY MANAGEMENT  
 Express Mail Label No. : EL867653880US

**ADDRESS TO:** Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, D.C. 20231

Date: November 1, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

\_\_\_ This application is a \_\_\_ of patent application No. .

Prior application information: Examiner ; Group Art Unit:

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. 60/246,264.

3. **APPLICATION COMPRISED OF**

**Specification**

14 Specification, claims and Abstract (total pages)

**Drawings**

3 Sheets of formal drawing(s) (FIGS. 1 to 3)

**Declaration and Power of Attorney**

\_\_\_ Newly executed

☒ Unexecuted declaration

\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_ **Microfiche Computer Program** (Appendix)

5. \_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

\_\_\_ Computer Readable Copy

\_\_\_ Paper Copy (identical to computer copy)

\_\_\_ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

\_\_\_ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

Docket No.: 41856/JEC/X2/134052

**7. ALSO ENCLOSED ARE**

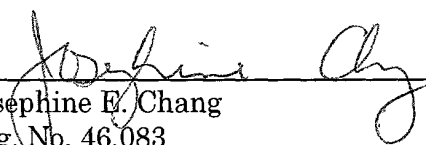
- ☐ Preliminary Amendment
  - ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
  - ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

**8. CORRESPONDENCE ADDRESS**

***CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068***  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
Josephine E. Chang  
Reg. No. 46,083  
626/795-9900

JEC/daa

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: November 1, 2001**

Docket No. : 41856/JEC/X2/134052

Inventor(s) : Karl Thiele

Title : DATA MANAGEMENT FRAMEWORK FOR POLICY MANAGEMENT

**FEE DETERMINATION**

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	20 - 20	0	x \$9.00	x \$18.00	-0-
INDEPENDENT CLAIMS	4 - 3	1	x \$42.00	1 x \$84.00	\$84.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	
BASIC FEE			\$370.00	\$740.00	\$740.00
TOTAL FILING FEE					\$824.00
List Independent Claims: 1, 6, 12, 15					

**METHOD OF PAYMENT**

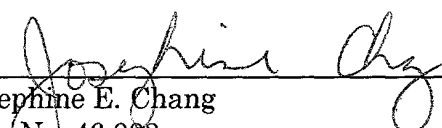
☒ Payment Enclosed: Check for \$824.00

☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

  
Josephine E. Chang  
Reg. No. 46,083  
626/795-9900

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